

INDIAN OCEAN DIALOGUE 2015

SEPTEMBER 5-7 PERTH, WESTERN AUSTRALIA



Australian Government
Department of Foreign Affairs and Trade



Welcome

Following the hosting of the first Indian Ocean Dialogue in India in 2014 by the Observer Research Foundation, the second Dialogue will be co-convened by Future Directions International in collaboration with the Australian Department of Foreign Affairs and Trade, with support from the Australian Department of Immigration and Border Protection.

The Dialogue will bring together key representatives from the governments and research institutions of the 20 Indian Ocean Rim Association member countries and the Dialogue Partners.

The Dialogue will be opened by the Hon. Julie Bishop MP, the Australian Minister for Foreign Affairs, and will focus on six sessions:

- Combating Transnational Crime.
- Maritime Security and Defence Cooperation.
- Regional Cooperation in Search and Rescue Operations.
- The Blue Economy as a Driver of Economic Growth.
- Countering Illegal Fishing.
- Humanitarian Assistance and Disaster Relief.

Future Directions International-Research Institute

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Day one

Saturday 5 September

1800 **Conference Registration**

1830 –2100 **Welcome reception and dinner at the Perth Convention Centre**

Speaker: **Dr C. Raja Mohan**, Head, Strategic Studies and Distinguished Fellow,
Observer Research Foundation.

Day two

Sunday 6 September

0830 –0900 **Registration**

0900 –1000 **Opening Plenary**

0900 -0910 Welcome
Major General John Hartley (Retd), CEO, Future Directions International.

0910 -0930 **The Hon. Julie Bishop MP**, Minister for Foreign Affairs.
Keynote opening address

0930 -0945 **His Excellency, KV Bhagirath**, IORA Secretary General.
Update on recent IORA activities and comments on regional cooperation

Official photographs

Minister Bishop departs

1000 –1030 **Spotlight Presentation: Non-Traditional Security Challenges**

Speaker: **Major General John Hartley (Retd)**, CEO, Future Directions International.

1030 –1100 **Morning tea**

1100 -1230 **Session 1: Combating Maritime Transnational Crime**

Chief Facilitator: **Dr Rob McLaughlin**, Associate Professor, ANU College of Law.

Other Facilitators: **RADM Michael Noonan**, Commander, Maritime Border Command.

Vice Admiral Anup Singh (Retd), former Commander, Eastern Naval
Command.

Issues to be discussed:

- What are the key transnational crime issues in the Indian Ocean region?
- Are these issues likely to change in the short term? If so, what might cause these changes and what impact could they have?
- How can regional states work together to combat maritime transnational crime more effectively?

1230 -1330 Lunch

1330 -1500 Session 2: Maritime Security and Defence Cooperation

Chief Facilitator: **Dr Sam Bateman**, Professorial Research Fellow, at the Australian National Centre for Ocean Resources and Security, University of Wollongong.

Other Facilitators: **Rear Admiral Khurshed Alam (Retd)**, Secretary's Office, Ministry of Foreign Affairs, Bangladesh.

CMDR Shishir Upadhyaya (Retd), Doctoral Candidate, Wollongong University.

Issues to be discussed:

- What is the current state of maritime security and defence cooperation in the region?
- Is this state likely to change in the foreseeable future and, if so, what might cause this?
- Can the present level of cooperation be improved and, if so, what might need to occur?

1500 – 1520 Afternoon tea

1520 – 1630 Session 3: Regional cooperation in Search and Rescue Operations

Chief Facilitator: **Mr John Young**, General Manager, Search and Rescue Operations, Australian Maritime Safety Authority.

Issues to be discussed:

- What is the current state of regional cooperation in civil aeronautical and maritime search and rescue operations in the Region?
- What lessons might be learned from recent civil search and rescue events that have significance for regional cooperation, particularly as they relate to:
 - > Cooperation between civil authorities responsible for coordinating operations and the military aircraft and ships that might be offered to conduct operations on-scene.
 - > Engagement of disaster management agencies in the aftermath of a large scale search and rescue event that requires the landing, triage and management of large numbers of survivors in the nearest place of safety.
- What would be the next step to make progress with these issues?

1700 Depart Convention Centre

1730 -1930 Dinner cruise

2000 Return to accommodation

0900 -0910 Opening remarks: reflections on Dialogue thus far

Speaker: **Major General John Hartley (Retd)**, CEO, Future Directions International.

0910 -0930 Spotlight Presentation: Deliberative Dispute Settlement: Developments in the Bay of Bengal.

Speaker: **RADM Khurshed Alam (Retd)**, Secretary's Office, Ministry of Foreign Affairs, Bangladesh.

0930 -1045 Session 4: Blue Economy as a Driver of Economic Growth

Chief Facilitator: **Dr Lyndon Llewellyn**, Programme Leader, Data Technology and Innovation, Australian Institute of Marine Science.

Other Facilitators: **Dr S. K. Mohanty**, Research and Information System for Developing Countries, New Delhi.

Professor Narnia Bohler-Muller, Deputy Executive Director, Democracy, Governance and Service Delivery Research Programme, Human Sciences Research Council, South Africa.

Dr Jaya Josie, Head, BRICS Research Centre, Human Sciences Research Council, South Africa.

Issues to be discussed:

- What are the key blue economy opportunities in the Indian Ocean?
- How can Indian Ocean states best learn from and assist each other in the blue economy?
- What role can IORA play in the blue economy?

1045 -1115 Morning tea**1115 -1230 Session 5: Countering Illegal Fishing**

Chief Facilitator: **Dr Mary Ann Palma-Robles**, Visiting Senior Fellow, Australian National Centre for Ocean Resources and Security, University of Wollongong

Other Facilitators: **Mr Laurie Caporn**, Principal Management Officer, Aquaculture Branch, Department of Fisheries, Western Australia.

Mr John Eyres, Fisheries Management Officer, Aquaculture Branch, Department of Fisheries, Western Australia.

Issues to be discussed:

- What are the factors and who are the actors in illegal fishing in the Indian Ocean? What issues or concerns should we be focussing on?
- What are the regional arrangements to address illegal fishing and how can we strengthen them?
- What are the future ramifications of illegal fishing in the Indian Ocean?

1230 -1330 Lunch

1330 -1500 Session 6: Humanitarian Assistance and Disaster Relief

Chief Facilitator: **CDRE (Retd RAN) Campbell Darby**

Other Facilitators: **Vice Admiral (Retd) Anup Singh**, Formerly of Indian Navy, Observer Research Foundation.

Issues to be discussed:

- What are the key HADR challenges in the region?
- What are current HADR cooperation arrangements in the Indian Ocean?
Is there need for a more formalised HADR agreement in the Indian Ocean?
- What role can IORA play in such arrangements?

1500 -1530 Afternoon tea

1530 -1600 Discussion and agreement of “Perth Consensus”

Facilitators: **Ambassador (Retd) M. Ganapathi**, Formerly of Ministry of External Affairs, India, Observer Research Foundation.

Major General John Hartley (Retd), CEO, Future Directions International.

1600 -1615 Concluding remarks

Speakers: **Dr C. Raja Mohan**

Major General John Hartley (Retd)

The Hon. Julie Bishop MP, Minister for Foreign Affairs.

She is also the Deputy Leader of the Liberal Party and has served as the Member for Curtin in the House of Representatives since 1998.

She was sworn in as Australia's first female Foreign Minister on 18 September 2013 following four years in the role of Shadow Minister for Foreign Affairs and Trade.

She previously served as a Cabinet Minister in the Howard Government as Minister for Education, Science and Training and as the Minister Assisting the Prime Minister for Women's Issues. Prior to this, Minister Bishop was Minister for Ageing.

Before entering Parliament Minister Bishop was a commercial litigation lawyer at Perth firm Clayton Utz, becoming a partner in 1985, and managing partner in 1994.

Minister Bishop graduated with a Bachelor of Laws from the University of Adelaide in 1978 and attended Harvard Business School in Boston in 1996 completing the Advanced Management Program for Senior Managers.



Rear Admiral Michael Noonan, AM, RAN

Rear Admiral Mike Noonan is a Principal Warfare Officer and Air Direction specialist, and assumed the position of Commander Maritime Border Command in December 2013.

His previous positions include Commodore Training, where he was responsible for all individual and collective training across the Australian Navy; Director General Operations in Headquarters Joint Operations Command, where he was responsible for the control and oversight of all operations and joint exercises involving the Australian Defence Force; and Director of Sailors' Career Management during a period which saw considerable evolution within Navy's personnel and career management system.

Rear Admiral Noonan also commanded HMAS Parramatta from her commissioning in 2003 to 2005. He was awarded Commendations for Distinguished Service for his role in operations in the Middle East in 2003 and 2009, and he was appointed a Member of the Order of Australia in June 2012.



Dr C. Raja Mohan Head-Strategic Studies & Distinguished Fellow Observer Research Foundation

Dr C. Raja Mohan is a distinguished fellow at the Observer Research Foundation, Delhi and heads its strategic studies programme. He is also an adjunct professor at the Rajaratnam School of International Studies (RSIS), Singapore and a non-resident fellow at the Lowy Institute, Sydney. He has published widely on Indian foreign policy and regional security issues in Asia and the Indian Ocean. His latest book is "Modi's World: Expanding India's Sphere of Influence" (Harper Collins, 2015).



Dr Lyndon Llewellyn Research Program Leader Data and Technology Innovation

Australian Institute of Marine
Science, Townsville

Lyndon is currently the Program Leader, Data and Technology Innovation at the Australian Institute of Marine Science. He has a technical background in marine biology, analytical biochemistry, molecular pharmacology and data science. Lyndon has led projects with industry collaborators ranging in size from start-ups to publicly traded companies including projects on biosensor development, effects of ecotoxicants on marine organisms using tools ranging from the molecular to mangrove trees, as well as biodiscovery for biotechnology. He has published in a wide variety of formats including traditional research publications (1 book, 12 book chapters, 68 scientific articles), major technical reports to industry (12), patents and an electronic data atlas. I have been an invited peer reviewer for national and international granting agencies and journals covering a wide variety of disciplines such as analytical chemistry, biochemistry, toxicology, microbiology and biomedicine



Vice Admiral Anup Singh, PVSM, AVSM, NM (Retd) Former Commander- in-Chief, Eastern Naval Command, India

Commissioned on 01 Jul 1973, Vice Admiral Anup Singh served the Indian Navy till superannuation on 31 Oct 2011. His last assignment in the Service was that of Flag Officer Commanding-in-Chief, India's Eastern Naval Command, from Aug 2009 till Oct 2011.

Currently occupied with the seminar circuit, his areas of research include National Defence, Maritime Security, the South China Sea Imbroglio, Energy Security, and Maritime Economy.



He is visiting faculty at the National Defence College (NDC), New Delhi, the Defence Services Staff College (DSSC), Wellington, and some other institutions in India. He holds the following Honorary assignments with various think tanks in India:-

- Member, Executive Council, Institute for Defence Studies and Analyses (IDSA), New Delhi
- Member, Armed Forces Historical Research Board, at the United Services Institution of India (USI), New Delhi
- Director (Strategic Studies) and Managing Editor, Society for Indian Ocean Studies (SIOS)
- Advisor (History), Indian Navy

Dr Sam Bateman

Dr Sam Bateman retired from the RAN as a Commodore and is now a Professorial Research Fellow at the Australian National Centre for Ocean Resources and Security (ANCORS) at the University of Wollongong in Australia, and an Adviser to the Maritime Security Programme at the S. Rajaratnam School of International Studies (RSIS) at the Nanyang Technological University in Singapore. His naval service included four ship commands ranging from a patrol boat to guided-missile destroyer. He received his PhD from the University of NSW for a dissertation on "The Strategic and Political Aspects of the Law of the Sea in East Asian Seas". He has written extensively on defence and maritime issues in Australia, the Asia-Pacific and Indian Ocean. His current research interests include regional maritime security, oceans policy, strategic and political implications of the Law of the Sea, and maritime cooperation and confidence-building.



Cdr (Retd) Shishir Upadhyaya

Cdr (Retd) Shishir Upadhyaya is a Ph.D. candidate at the Australian National Centre for Ocean Resources and Security/ University of Wollongong, Australia and a former Indian Naval officer. The topic for this thesis is "Naval cooperation initiatives of the Indian Navy: Scope and Prospects for Coalition Building."



Shishir Upadhyaya was formerly Joint Director Foreign Cooperation and Intelligence at the Naval HQ and later Research Fellow at the National Maritime Foundation, New Delhi. His research interests include maritime security, emerging defence technologies, contemporary international relations, and defence alliances and coalitions. Post retirement from the navy, he was employed with IHS Jane's for over three years.

Cdr Upadhyaya holds dual Masters Degrees in Defence Strategy and Telecommunications and a Diploma in Shipping Management. He attended the Combined Intelligence Research and Analysis Course with the Australian Defence Force in 2007 and is an alumnus of the Defence Services Staff College, India.

Cdr Upadhyaya has authored a monograph titled 'Combating Piracy in the Indian Ocean', published two edited books and is a regular contributor to leading international journals including the Jane's Defence Weekly and Jane's Navy International. He was awarded the Chief of Naval Staff Commendation Medal for devotion to duty in 2008 and the IHS President Club Award for professional excellence in 2014 by the CEO of IHS Jane's.

Professor Narnia Bohler-Muller (LLD)

She joined the HSRC as Deputy Executive Director of the Democracy, Governance and Service Delivery research programme on 1 March 2012. Prof Bohler-Muller is an adjunct Professor of the Nelson Mandela School of Law at the University of Fort Hare and currently also serves as the Acting Executive Director of the Africa Institute of South Africa



Bohler-Muller has over 40 peer reviewed journal publications and has co-edited three books on gender violence; human trafficking and the dynamic of BRICS. She is an admitted Advocate of the High Court of the Republic of South Africa. She is a member of the HSRC BRICS Think Tank Secretariat, as well as the BRICS Think Tank Council. Her research interests include international and constitutional law; human rights, democracy and social justice. Prof. Bohler-Muller is Principal Investigator on a multi-year project dealing with the Assessment of the Impact of the Decisions of the Constitutional Court and the Supreme Court of Appeal on the transformation of society.

Major General John Hartley, AO (Retd) CEO and Institute Director Future Directions International

After graduating from the Royal Military College, Duntroon, in 1965, he was deployed to South Vietnam as a platoon commander with the 5th Battalion, Royal Australian Regiment. He later returned in 1970 as a senior adviser to a South Vietnamese infantry battalion. In these two appointments, he was wounded three times, twice mentioned-in-dispatches, awarded the Vietnamese Cross of Gallantry, the US Army Commendation Medal for Valour on two occasions and the US Air Force Air Medal for Valour.



As a General Officer, he headed Army's Training Command, was the Director of the Defence Intelligence Organisation, Deputy Chief of the Army and Head of Army's Land Command.

He has lectured on geo-strategic issues at the University of Queensland and at Griffiths University and has published over 150 articles in newspapers and defence related magazines. He was the SBS commentator for the opening phase of the 2003 Gulf War, appearing on national TV on 20 consecutive nights and was the ABC FM spokesperson on the same topic. He became CEO of Future Directions International in 2009.

Ambassador M. Ganapathi (Retd)

Former Secretary, Ministry of External Affairs, Government of India

Mr. Ganapathi completed M.Sc. (Chemistry) from Presidency College, Madras University in 1974.

He joined the Indian Foreign Service in 1975.

His overseas postings included Moscow (1977-78), Sofia (1978-82), Singapore (1982-85), London (1988-1991), Belgrade (Charge D'Affaires) (1991-93) and Moscow (Minister and Deputy Chief of Mission) (1994-98).

His postings at Headquarters included Deputy Secretary (ASEAN and Pacific) -1985-86; Private Secretary to Minister of State for External Affairs and Private Secretary to Minister of State for Finance (1986-88); Joint Secretary, Foreign Service Institute (1998); and Joint Secretary (Europe East) - 1999-2001.

Ambassador Ganapathi was Consul General of India, Sydney from 2001-2005; Ambassador of India, Kuwait from 2005-2008; and High Commissioner of India, Mauritius from 2008-2011.

From 2011-2012, he was Secretary (West), Ministry of External Affairs, New Delhi overseeing India's relations with countries in Europe, Africa and Latin America besides Canada.



Campbell Darby DSC AM

Campbell resigned as Director General, Emergency Management Australia in September 2012. As DGEMA he coordinated the Commonwealth operational response and recovery efforts to crises and disasters. He also managed the delivery and policy development of disaster relief financial arrangements and coordinated protective security arrangements for dignitaries, significant events and the diplomatic community.

In 2007 Campbell left the RAN to become an Executive Director in the NT Government, responsible for emergency management policy, emergency recovery, and security. He also managed Protocol, Government House, and Major Events.

Campbell had a long Navy career, commanding HMA Ships IPSWICH, SYDNEY and BRISBANE and holding senior training, operations and personnel positions. In 2003/04 he was Baghdad-based commanding all Australian Forces in the Middle East and then Commander Northern Command. He has been invested as a Member of the Order of Australia, and received the Distinguished Service Cross for service in Iraq.

He now consults, sharing his experiences in leadership, national security, and crisis management, while running a small cattle property.



Ambassador K.V. Bhagirath, Secretary-General, IORA

Ambassador Bhagirath is from the Indian Foreign Service which he joined in 1979. He was elected Secretary-General of Indian Ocean Rim Association during the IORA Council of Ministers' Meeting in November 2011 and assumed charge on January 1, 2012. He is a student of History from St. Stephens College, Delhi University and Jawaharlal Nehru University, New Delhi. During his diplomatic career spanning over three decades, he has undertaken assignments in the Middle East, Latin America, Europe and Africa, with postings in Damascus, Syria from 1981 to 1983, Havana, Cuba from 1989 to 1993, London, United Kingdom from 1993 to 1997, Mauritius from 1997 to 2001, Paris from 2004 to 2007, and Dar es Salaam, Tanzania from October, 2007 to 2011.

His spouse Hemalata Bhagirath is also from the Indian Foreign Service and they have a daughter and a son.



Dr Robert McLaughlin Associate Professor, College of Law, Australian National University

Rob McLaughlin is an Associate Professor in the ANU College of Law, and Co-Director of the Centre for Military and Security Law. After a career in the Royal Australian Navy which included operational service in East Timor, Iraq, and maritime law enforcement, and serving as the Head of the UNODC Maritime Crime Program, he now teaches and researches in the areas of LOAC, Law of the Sea, and maritime law enforcement.



Professor Saroj Kumar Mohanty

Research and Information System for Developing Countries

Dr Mohanty is Professor at the Research and Information System for Developing Countries, a New Delhi based think-tank, supported by the Ministry of External Affairs. As a trade economist, he has researched extensively in the areas of bilateral and regional trading arrangements, blue economy, regional fisheries management, global value chain, trade and environment and export-orientation of manufacturing sector in India and others. His recent assignments include India's bilateral economic engagement with countries like China, Canada, Nepal, Singapore, Vietnam and Bangladesh and regions like Africa and Latin America. He was the Chairperson of the Academic Group of the Indian Ocean Rim Association for the years 2011-12 and 2012-13.

He has taught in Jawaharlal Nehru University and has written several books. He has a long association with number of multilateral organisations. He was Member of number of Committees set up by the Government of India, including Joint Study Groups (JSGs) for FTAs.



Dr Mary Ann Palma-Robles
Visiting Senior Fellow at the
Australian National Centre
for Ocean Resources and
Security (ANCORS), University
of Wollongong

Dr Mary Ann Palma-Robles is a Visiting Senior Fellow at the Australian National Centre for Ocean Resources and Security (ANCORS), University of Wollongong and holds an Adjunct Senior Research Fellow position at the Centre for Sustainable Tropical Fisheries and Aquaculture (CSTFA), College of Marine and Environmental Sciences, James Cook University. Her research interests include international fisheries law and policy, ocean policy and management, maritime security and regional marine governance. She has published widely on these topics, including a book on Promoting Sustainable Fisheries: The International Legal Framework to Combat Illegal, Unreported and Unregulated Fishing (Brill, 2010). Mary Ann has undertaken research on fisheries law and policy funded by international organisations, Australian government agencies, foreign governments and international non-government organisations. Her most recent research includes the impact of IUU fishing regulations on international fish trade, combating transnational crime in fisheries, and exploring fisheries cooperation in the Indo-Pacific region. She participates in the negotiations of the Western and Central Pacific Fisheries Commission and has undertaken advisory work for the Philippines and Indonesian Governments to develop and assess their tuna management plans.



**Mr John Young, General
Manager, Search & Rescue
Operations, Australian
Maritime Safety Authority**

John was appointed as the General Manager of the Search & Rescue division at AMSA in September 2008. In that role he is responsible for provision of a national aviation and maritime search and rescue service in conjunction with the Police services and the Australian Defence Force. John chairs the National Search & Rescue Council which brings government bodies together to supervise the cooperative national arrangements.

Additionally the division assists with 24/7 coordination of AMSA's emergency responses for maritime casualties and counter-pollution operations.

AMSA is part of a global network of rescue facilities providing maritime and aviation search and rescue services. Key elements include operation of the Joint Australian Rescue Coordination Centre (JRCC Australia), maintenance of search and rescue service contracts for aircraft, helicopters and equipment, and related policy, training and management services.

John was the Manager Search and Rescue Operations at AMSA from 1999 to 2008. Before that he enjoyed a career in the Royal Australian Navy, serving in large ships, destroyers and patrol boats in Australian and foreign waters.



**Rear Admiral Md. Khurshed
Alam (Retd), Secretary,
Ministry of Foreign Affairs**

Mr. Md. Khurshed Alam, (Born in 1953) Secretary, Maritime Affairs Unit of the Ministry of Foreign Affairs has a dynamic and long career. Mr. Alam performed the duties of the Deputy Agent and the head of the legal team in the "Dispute concerning delimitation of the maritime boundary between Bangladesh and Myanmar" and the "Bay of Bengal Maritime Boundary Arbitration between Bangladesh and India". He was also the head of the Bangladesh Continental shelf technical team entrusted with the preparation, documentation, carrying out seismic survey of the Bay of Bengal.

He was commissioned in the Bangladesh Navy in 1973 and retired as Rear Admiral in 2008. He received "M Phil degree" from the Madras University with a first class and attended Master's course on Oceanography at the National Oceanographic Centre, UK. On completion of his graduation and Law of the Sea Course from the Royal Naval College, Greenwich, UK, he was awarded with a "Commendation letter" for meritorious works. He also received the Indian Presidents Gold Medal from the Indian Naval Academy in 1974. In 1991, he was appointed as the Defence Adviser in the Bangladesh High Commission in Malaysia. Book named - "Bangladesh's Maritime Challenges in the 21st Century" published in 2004- dealing with all types of maritime infrastructure and the Law of the Sea including all the other maritime problems and prospects of Bangladesh.





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